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### NOTICE OF ALLOWANCE AND FEE(S) DUE

24267 08/05/2011 CESARI AND MCKENNA, LLP 88 BLACK FALCON AVENUE BOSTON, MA 02210

**EXAMINER** DANEGA, RENEE A ART UNIT PAPER NUMBER

3736 DATE MAILED: 08/05/2011

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/565,921      | 03/13/2006  | Stephen B. Murphy    | 103068-0003U        | 7285             |

TITLE OF INVENTION: VIRTUAL TRIAL REDUCTION SYSTEM FOR HIP ARTHROPLASTY AND COORDINATE SYSTEMS THEREFOR

|   | APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|---|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| r | nonprovisional | YES          | \$755         | \$300               | \$0                  | \$1055           | 11/07/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:** 

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

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If the SMALL ENTITY is shown as NO:

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II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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| maintenance fee notificat  | tions.  | or transmitting the 1956 of the Patent, advance of the Patent, advance of the patents in Block 1, by (a ock 1 for any change of address) | Note   | : A certificate of m   | ailing can only be used for   | t correspondence address as<br>arate "FEE ADDRESS" for<br>or domestic mailings of the   |
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| 24267<br>CESARI AND<br>88 BLACK FAL<br>BOSTON, MA O  |   |  | pape<br>have   | rs. Each additional pits own certificate o   | oaper, such as an assignme<br>f mailing or transmission.<br>Ticate of Mailing or Trans  | for any other accompanying ent or formal drawing, must smission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below. |
|  |   |  |  |  |   | (Depositor's name)  |
|  |   |  |  |  |   | (Signature)   |
|  |   |  |  |  |   | (Date)  |
| APPLICATION NO.  | FILING DATE   |  | FIRST NAMED INVENTOR   | A  | ATTORNEY DOCKET NO.   | CONFIRMATION NO.  |
| 10/565,921   | 03/13/2006  |  | Stephen B. Murphy  |  | 103068-0003U  | 7285  |
| APPLN. TYPE  | SMALL ENTITY  | ISSUE FEE DUE  | PUBLICATION FEE DUE  | PREV. PAID ISSUE I   | FEE TOTAL FEE(S) DUE  | DATE DUE  |
| nonprovisional   | YES   | \$755  | \$300  | \$0  | \$1055  | 11/07/2011  |
| EXAM   | INER  | ART UNIT   | CLASS-SUBCLASS   |  |   |   |
| DANEGA,  |   | 3736   | 600-587000   |  |   |   |
| 1. Change of corresponde   |   |  | 2. For printing on the pa  | atent front page list  |   |   |
| CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. |   |  | registered attorney or agent) and the names of up to   |  |   |   |
| PLEASE NOTE: Unl<br>recordation as set forth<br>(A) NAME OF ASSIC  | ess an assignee is ident<br>h in 37 CFR 3.11. Comp<br>GNEE  | ified below, no assignee<br>oletion of this form is NO   | T a substitute for filing an a   | tent. If an assignee issignment. and STATE OR CO   | UNTRY)  | locument has been filed for   |
| Please check the appropri  | iate assignee category or   | categories (will not be pr   | rinted on the patent):   | Individual 🖵 Corp  | ooration or other private gr  | oup entity Government   |
| 4a. The following fee(s) are submitted:  Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies  |   |  | <ul> <li>a. Payment of Fee(s): (Please</li> <li>A check is enclosed.</li> <li>Payment by credit card</li> <li>The Director is hereby overpayment, to Depose</li> </ul> | I. Form PTO-2038 is  | s attached.   |   |
| • •  | s SMALL ENTITY statu  | is. See 37 CFR 1.27.   |  | _  | ENTITY status. See 37 C   |   |
| interest as shown by the r   | records of the United Sta   | tes Patent and Trademark   | Office.  | 11   |   | he assignee or other party in   |
| Authorized Signature   |   |  |  | Date   |   |   |
| Typed or printed name  |   |  |  | -  |   |   |
| This collection of information application. Confident submitting the completed his form and/or suggest. Nov. 1450. Alexandria. V.  | ation is required by 37 C<br>tiality is governed by 35<br>I application form to the<br>ons for reducing this bur-<br>inging 22313-1450 DO | FR 1.311. The informatic<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the                                | on is required to obtain or re<br>1.14. This collection is esti<br>depending upon the indivi-<br>e Chief Information Office.<br>COMPLETED FORMS TO                     | etain a benefit by the<br>mated to take 12 mi<br>dual case. Any com<br>r, U.S. Patent and Tr<br>THIS ADDRESS | public which is to file (an<br>nutes to complete, includi<br>ments on the amount of ti<br>ademark Office, U.S. Dep<br>SEND TO: Commissioner | d by the USPTO to process)<br>ng gathering, preparing, and<br>me you require to complete<br>sartment of Commerce, P.O.<br>for Patents, P.O. Box 1450.                   |

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| APPLICATION NO.         | FILING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-------------------------|---------------|----------------------|---------------------|------------------|--|
| 10/565,921              | 03/13/2006    | Stephen B. Murphy    | 103068-0003U 7285   |                  |  |
| 24267 75                | 90 08/05/2011 | EXAMINER             |                     |                  |  |
| CESARI AND MCKENNA, LLP |               |                      | DANEGA, RENEE A     |                  |  |
| 88 BLACK FALC           | ON AVENUE     |                      |                     |                  |  |
| BOSTON, MA 022          | 210           |                      | ART UNIT            | PAPER NUMBER     |  |
|                         |               |                      | 3736                |                  |  |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 357 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 357 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|  | Application No.   | Applicant(s)   |   |  |
|--|---|--|---|--|
|  | 10/565,921  | MURPHY, STEPHEN B.   |   |  |
| Notice of Allowability   | Examiner  | Art Unit   |   |  |
|  | RENEE DANEGA  | 3736   |   |  |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313                           | (OR REMAINS) CLOSED in or other appropriate commits GHTS. This application is | n this application. If not included<br>unication will be mailed in due course. <b>TH</b> |   |  |
| 2. X The allowed claim(s) is/are <u>22-27</u> .  |   |  |   |  |
|  |   |  |   |  |
| <ul> <li>3. Acknowledgment is made of a claim for foreign priority ur</li> <li>a) All b) Some* c) None of the:</li> <li>1. Certified copies of the priority documents have</li> <li>2. Certified copies of the priority documents have</li> <li>3. Copies of the certified copies of the priority documents</li> </ul> | been received.<br>been received in Applicati                                  | on No  | ۵ |  |
| International Bureau (PCT Rule 17.2(a)).   | saments have been receive   | a mano national stage application from the   |   |  |
| * Certified copies not received:   |   |  |   |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give   | ENT of this application. itted. Note the attached EX                          | AMINER'S AMENDMENT or NOTICE OF  |   |  |
| ` ,  | . , ,   | r designation to deficient.  |   |  |
| <ol> <li>CORRECTED DRAWINGS (as "replacement sheets") mus</li> <li>(a) ☐ including changes required by the Notice of Draftspers</li> </ol>   |   | w ( PTO-948) attached  |   |  |
| 1) hereto or 2) to Paper No./Mail Date   | -   | w (1 10-540) attached  |   |  |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date   |   |  |   |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t   |   |  |   |  |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT  |   |  |   |  |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892)  |   | nformal Patent Application   |   |  |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948)   |   | Summary (PTO-413),<br>/Mail Date   |   |  |
| 3. Information Disclosure Statements (PTO/SB/08),  |   | Amendment/Comment  |   |  |
| Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit of Biological Material   | 8. ⊠ Examiner's<br>9. □ Other   | Statement of Reasons for Allowance   |   |  |
|  | /Max Hindent  | <del>_</del>   |   |  |
|  |   | atent Examiner, Art Unit 3736  |   |  |

Application/Control Number: 10/565,921 Page 2

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### **DETAILED ACTION**

## Response to Arguments

1. Applicant's arguments see pgs 5-6, filed 6/22/11, with respect to claim 22 have been fully considered and are persuasive. The rejection of claims 22-27 has been withdrawn.

2. The following is an examiner's statement of reasons for allowance: Applicant's arguments with regards to the Konishi reference are persuasive. Examiner finds

Konishi to be the closest relevant prior art and is unable to find secondary references to cure the deficiencies and teach the claim limitations not taught by Konishi.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RENEE DANEGA whose telephone number is (571)270-3639. The examiner can normally be reached on Monday through Thursday 8:30-5:00 eastern time.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Max Hindenburg can be reached on (571) 272-4726. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 3736

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

RAD

/Max Hindenburg/ Supervisory Patent Examiner, Art Unit 3736